Esposito Institutional Consulting Process

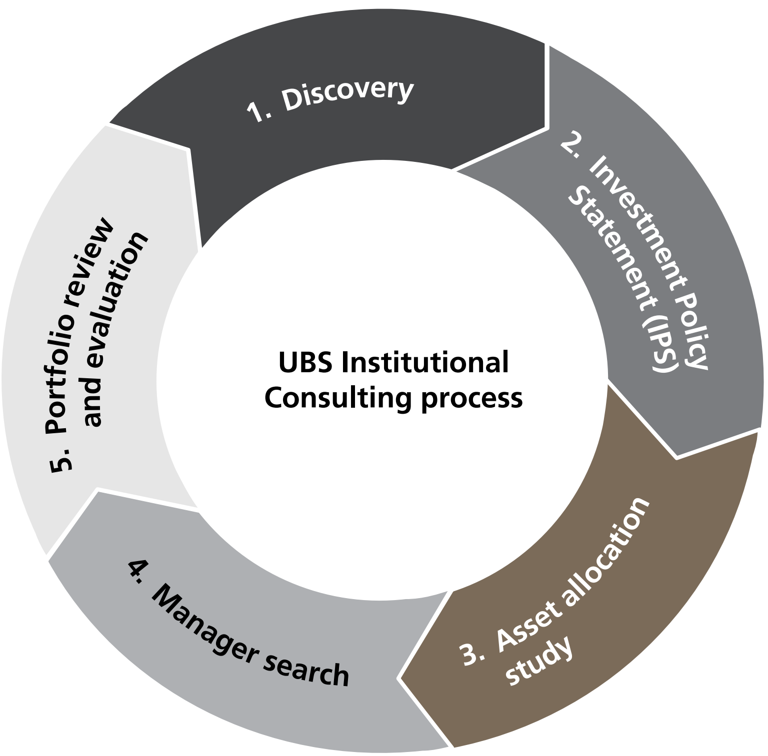
Private Wealth Management

February 2021

UBS Institutional Consulting process

Third Section—Our Process

We start by learning about your organization's financial goals in order to provide a truly customized solution.



1 Discovery
- Review portfolio
- Portfolio risk profile
- Investment objectives

2 Investment Policy Statement (IPS)
- Develop a plan targeting investment objectives
- Periodic IPS review and recommendations

3 Asset allocation study
- Expected risk/return across asset classes
- Apply UBS capital market assumptions, economic forecasts and portfolio modeling
- Develop strategic asset allocations

4 Investment manager search
- Investment solutions to suit asset allocation

5 Portfolio review and evaluation
- Market update and outlook
- Portfolio performance
- Current manager performance; retain/replace
- Ongoing review and monitoring
- Rebalance to maintain risk/return profile
- Education (board and investment committee)

Disclaimer

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